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# May 1731

TO/SB/21 (08-00) Approved for use through 10/31/2002, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork-Reduction Act of 1998, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/942,832 TRANSMITTAL **Filing Date** 08/30/2001 **FORM** First Named Inventor Pitbladdo (to be used for all correspondence after initial filing) Group Art Unit 1731 **Examiner Name** 5 Total Number of Pages in This Submission Attomey Docket Number PIT-2 **ENCLOSURES** (check all that apply) Assignment Papers (for an Application) After Allowance Communication Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Amendment / Reply Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer 6 References **Express Abandonment Request** Request for Refund Information Disclosure Statement RECEIVED

APR 1 - 2002

TC 1700 CD, Number of CD(s) \_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brown & Michaels, PC Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 700/1940 000/43 27 3358 Judy H. Barron Typed or printed name Signature Date

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TC 1700

## THE COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

In re the application of:

Richard B. Pitbladdo

For:

GLASS HOMOGENIZING PUMP

Filed:

08/30/01

Application No.:

09/942,832

Art Unit:

1731

Attorney Docket No.:

PIT-2

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this information Disclosure Statement

1. [X] Preliminary Statements
2. [X] FORM PTO - 1449 (Modified)
3. Statement As To Information Material To Examination Not Found in Patents or Publications
4. Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.
5. Cumulative patents or Publications
6. [X] Copies of Listed Information Items Accompanying This Statement
7. Concise Explanation of Non-English Language Listed Information Items.
8. Translation(s) of Non-English Language Documents
9. Certification under MPEP 609(e)
10. [X] Identification of Person(s) Making This Information Disclosure Statement
CERTIFICATE OF MAILING   CERTIFICATE OF MAILING



Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## Section 2. Form PTO - 1449 (Modified) (SEE ATTACHMENT)

- \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.
- Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)
- Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

Section 5. Cumulative Patents or Publications	
☐ Item(s) are cumulative of the following patents or publication listed on Form PTO 14	
In accordance with 37 CFR 1.98(c) a copy of is being submitted with this info	rmandificestre statement.
Section 6. Copies of Listed Information Items Accompanying This Statement	APR 1 - 2002 TC 1700
Legible copies of all items listed accompany this information statement.	TC 1700
Exception(s) to above:	1.00
☐ Items in prior application from which an earlier filing date is claimed for the identified in Section 4. ☐ Cumulative patents or publications identified in Section 5.	is application as
Section 7. Concise Explanation of Non-English Language Listed Information It	tems
Section 8. Translation(s) of Non-English Language Documents	
Submitted herewith is an English translation of the following foreign langu publications or information or of those portions of those patents, publications considered to be material:	or information
No English language translations of the foreign language patents, publication parts thereof are readily available, except for those listed above.	ions or information or

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.
Section 9. Certification under Rule 1.97
[X] The undersigned hereby certifies that:
a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.
b. The fee set forth in §1.17(p)
☐ Is being paid with this Information Disclosure Statement
[X] Is not due because:  [X] (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or  [2] No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT
The person making this statement is
(a) the inventor(s) who signs below
(b) [X] the attorney who signs below on the basis of:  the information supplied by the inventor(s)  an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)).  [X] the information in the attorney's file
Christopher A. Michaels, Registration No. 34,390  BROWN & MICHAELS, PC  400 M&T Bank Building, 118 N. Tioga Street  Ithaca, New York 14850-4343  Voice: (607) 256-2000 Fax: (607) 256-3628

e-mail: bpm@bpmlegal.com Customer number: 020808

Section	n 2. Fo	orm <b>PTO</b> - 1449 (	Modified)	(ATTACHMENT)						
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U.S. PATENT DOCUMENTS										
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	AH	DE 19926345A	12-09-1999	Germany	6			1		
	AI	WO 96 15072 A	02-23-1996	PCT						
	AJ	EP 1072 561 A1	02-31-2001	Euope						
	AK	10338528	22-12-98	Japanese Patent Abstract						
	AL	10287429	27-10-98	Japanese Patent Abstract						
	AM	XP-992189511	23-021990	Soviet Inventions Illustrated						
OTHER PRIOR ART  APRICEIVED										
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